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APPLICATION NUMBER FILING RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 09/776.602 02/02/2001 Kevin A. Simms

01-EDP-011

**CONFIRMATION NO. 4716** 

**FORMALITIES LETTER** OC000000005861547

Martin J. Moran **Cutler-Hammer Products** 170 Industry Drive, RIDC Park, West Pittsburgh, PA 15275

Date Mailed: 03/14/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$98.
  - \$18 for 1 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 938.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(q)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

We signal

A copy of this notice MUST be returned with the reply.

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Customer Service Center Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY

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PTO/SB/21 (08-00) Approved for use through 10/31/2002 OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/776,602 TRANSMITTAL **Filing Date** February 2, 2001 **FORM First Named Inventor** Kevin Simms Group Art Unit (to be used for all correspondence after initial filing) **Examiner Name** 01-EDP-011 23 Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) x of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request Address identify below): Terminal Disclaimer Letter to Official Express Abandonment Request Request for Refund Draftsman Postcard Information Disclosure Statement CD, Number of CD(s). Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ X Incomplete Application Response to Missing Parts X under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Martin J. Moran Individual name Signature April 18, 2001 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

<u> April 18, 200</u>1 Typed or printed name ( . Ol Signature Date

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. U.S. Patent and Trademark Office. Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents. Washington, DC 20231.



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Eaton Case No.: 01-EDP-011

Applicant(s): Simms et al

Serial No.: 09/776,602

Group Art Unit:

Filed: February 2, 2001

Title: Circuit Breaker

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Hon. Commissioner of Patents and Trademarks Washington, DC 20231

April 12, 2001

SIR:

# LETTER TO OFFICIAL DRAFTSMAN

We are hereby submitting the Formal Drawing(s) to replace the Drawing(s) originally filed with the application.

Respectfully sphmitted,

Attorney for Applicants

Telephone No. 412/787-6473 Facsimile No. 412/494-3387

M.J.Moran:ncl Reg. No. 26,694